

Prior authorization and appeals guide

Supporting you through the PA and appeals process for LEQVIO®

For questions or support, contact your Novartis Access and Reimbursement Expert

Name:	Phone:	
Title:	Email:	
The information herein is provided for educe guarantee insurance coverage or reimburse may vary significantly by payer, plan, patien responsibility of the health care provider to used in seeking coverage and reimbursement PA, prior authorization.	ment. Coverage and reimbursement t, and setting of care. It is the sole ensure the accuracy of all statements	
Have questions? Talk to a dedicated Access Specialist.		
Phone: 833-LEQVIO2 (833-537-8462)	Fax: 877-LEQVIO8 (877-537-8468)	
Website: LEQVIO-access.com	Portal: ServiceCenterPortal.com	



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How to use this guide

Since every plan manages LEQVIO® differently, you may run into various restrictions to coverage even if prescribing LEQVIO is the right decision clinically.

This guide intends to be a resource for you to use if your patient is faced with common insurance restrictions like a prior authorization (PA), step edit, or a plan not having a policy in place for LEQVIO. Tools like the Sample Letter of Medical Necessity and its checklist may help with ensuring your communications with health plans are as complete as possible and support you in getting access to LEQVIO for your patients who need it.

Benefits verification

After determining that LEQVIO is the right treatment for your patient, confirm if the patient's health plan provides coverage for LEQVIO. Your practice should contact the health plan to understand your patient's specific coverage criteria. You may also enroll your patient in the LEQVIO Service Center, where dedicated Access Specialists can verify your patient's benefits and provide other helpful information and resources throughout the LEQVIO access process.

You have two options for enrolling a patient in the program



You can electronically enroll patients in support services at **ServiceCenterPortal.com**.

OR

OR



Complete the one-page **Start Form** and fax it to the LEQVIO Service Center at **877-537-8468**.

Typical drug coverage policies

PA Required

A PA is needed to confirm certain criteria have been met. A plan may also have step-edit restrictions in place that need to be met.

Submit a plan-specific PA form requesting LEQVIO.

Not Covered

Coverage may not be granted because:

 LEQVIO is excluded from the health plan's formulary or a coverage decision was not made

If LEQVIO is not covered by the plan, submit a letter of medical necessity or medical exception. If that is not accepted, submit a letter of appeal.

Approved

LEQVIO is covered with no restrictions by the plan for the patient.

No further action is needed on your part.

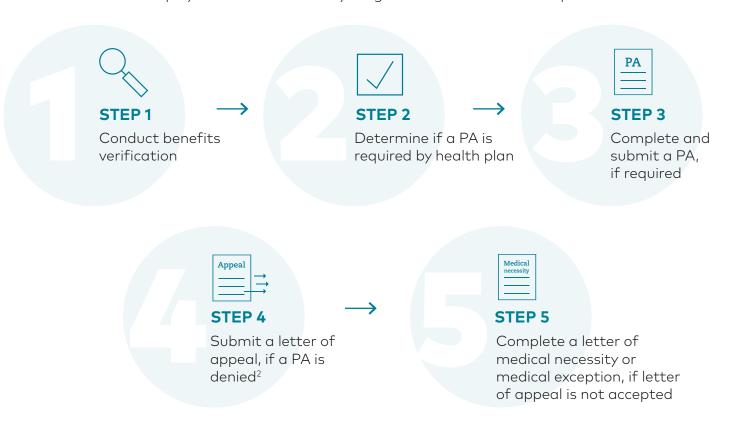
OR





Benefits verification and the PA process

A benefits verification (or investigation) is a process in which a patient's benefits from their health insurance provider are confirmed to determine coverage. A PA/precertification is a step that a health plan may require to validate coverage and reimbursement for a therapy. Together, benefits verifications and PAs play vital roles in the early stages of the reimbursement process.¹



It is important to address the specific reason for denial of coverage

NOTE: There are multiple levels of appeal. The sample letters included in this guide can be adapted for higher-level appeals.³ Each health plan has their own requirements for each level, so you should check with the plan to determine exactly how you should proceed with your appeal.

You can access the sample letters described above within this guide or by visiting LEQVIO-access.com.

References: 1. Outsource Strategies. Importance of Insurance Verification Services in Healthcare Industry. Accessed April 6, 2023. https://www.outsourcestrategies.com/blog/importance-insurance-verification-services-healthcare-industry.html **2.** Forward Health. Denied Requests. Accessed April 6, 2023. https://www.forwardhealth.wi.gov/WIPortal/Subsystem/KW/Print.aspx?ia=1&p=1&sa=84&s=3&c=15&nt=Denied+Requests **3.** CGS Medicare. The 5 Levels of the Appeals Process. Accessed April 6, 2023. https://www.cgsmedicare.com/jc/claims/appeals/5levels.html





The medical necessity/exception process

Medical necessity:

If your patient's health insurance provider does not grant them access to LEQVIO® due to plan requirements, a letter of medical necessity along with documentation of clinical need may be required to gain access.

Medical exception:

If your patient's health insurance provider excludes or has not made a coverage decision on LEQVIO, a medical exception process may be followed to determine payer coverage. At a minimum, this will require you to submit a letter of medical exception along with documentation of clinical need.



You can access the sample letters described above within this guide or by visiting <u>LEQVIO-access.com</u>.





Benefits verification and PA checklist

Consider the following points when discussing the benefits verification with the health plan and preparing to submit a PA.

- Verify patient eligibility and benefits with the health plan before providing LEQVIO®
- Before submitting a PA, ensure that the patient has fulfilled the plan's requirements. PA criteria vary by plan, but the list below includes examples of the kinds of PA criteria that plans may have in place:
 - The patient has a primary diagnosis of hyperlipidemia or heterozygous familial hypercholesterolemia (HeFH)
 - The patient has a history of clinical atherosclerotic cardiovascular disease (ASCVD)
 - Acute coronary syndromes
 - History of myocardial infarction (MI)
 - Stable or unstable angina
 - Coronary or other arterial revascularization
 - Stroke or transient ischemic attack (TIA)
 - Peripheral arterial disease (PAD)
 - The patient has an increased risk of ASCVD
 - Age ≥65 years
 - Type 2 diabetes mellitus
 - 10-year ASCVD risk score of ≥20%
 - The patient is taking a statin or additional lipid-lowering treatment, or has a documented statin intolerance
 - The patient's LDL-C level is >70 mg/dL for ASCVD or >100 mg/dL for increased risk of ASCVD
 - To be prescribed by, or in consultation with, a primary care physician, cardiologist, endocrinologist, lipidologist, or specialist

INDICATION

LEQVIO injection is indicated as an adjunct to diet and statin therapy for the treatment of adults with primary hyperlipidemia, including heterozygous familial hypercholesterolemia (HeFH), to reduce low-density lipoprotein cholesterol (LDL-C).

IMPORTANT SAFETY INFORMATION

Adverse reactions in clinical trials (≥3% of patients treated with LEQVIO and more frequently than placebo) were injection site reaction, arthralgia, and bronchitis.





Common causes for coverage denials

Be sure to double check your documentation to avoid these common causes for denial.

Administrative information and documentation	 Missing patient information (name, date of birth, insurance ID number, insurance group number, and dates of service) Missing physician's signature Insufficient/incomplete or incorrect information
Diagnosis	 Clerical error resulting in incorrect ICD-10 code Lack of relevant ICD-10 code(s) Lack of documentation supporting appropriate diagnosis Incorrect dosage or unit listed
Lab values	 Outdated lab panels (per payer requirement or in the last 90 days) Submission of lipid profiles without LDL-C levels
History of lipid- lowering therapies	 Insufficient duration of prior statin therapy No reason provided for discontinuation of previous therapy/therapies

Avoid further delays in treatment

Ensure all requested PA information is accurately completed, if applicable, including prior treatment history, testing history, and ICD-10 code(s). In the event a health plan denies the request for coverage, your office may submit an appeal on behalf of the patient.

For additional information and support, contact your Novartis Access and Reimbursement Expert or visit LEQVIO-access.com.

Reference: Signature Performance. Understanding the difference between denied and rejected medical claims. Accessed April 6, 2023. https://www.signatureperformance.com/post/understanding-the-difference-between-denied-and-rejected-medical-claims





LEQVIO®: the first and only siRNA for lowering LDL-C



Refer to this page along with the prescribing information when writing a letter of appeal or medical necessity

FDA approval date	Initial: December 21, 2021 Revised: July 7, 2023
Indication	LEQVIO injection is indicated as an adjunct to diet and statin therapy for the treatment of adults with primary hyperlipidemia, including heterozygous familial hypercholesterolemia (HeFH), to reduce low-density lipoprotein cholesterol (LDL-C).
Class	LEQVIO is the only entrant in the siRNA class of therapies approved to lower LDL-C.
Route of administration	The recommended dosage of LEQVIO in combination with statin therapy is 284 mg/1.5 mL administered by a health care professional as a single subcutaneous injection initially, again at 3 months, then every 6 months.
Clinical trials	Three studies were conducted in patients with ASCVD (ORION-10 and ORION-11), those at increased risk of ASCVD (ORION-11), and those with HeFH (ORION-9).
Efficacy	 In the ORION-10 clinical trial on top of a maximally tolerated statin, LEQVIO demonstrated 52% LDL-C reduction vs placebo at Month 17 (95% CI: -56%, -49%; P<.0001) in ASCVD patients In the ORION-11 clinical trial on top of maximally tolerated statin, LEQVIO demonstrated 50% LDL-C reduction vs placebo at Month 17 (95% CI: -53%, -47%; P<.0001) in ASCVD patients and patients at increased risk of ASCVD*
	 In the ORION-9 clinical trial on top of a maximally tolerated statin, LEQVIO demonstrated 48% LDL-C reduction vs placebo at Month 17 (95% CI: -54%, -42%; P<.0001) in patients with HeFH
Safety	Adverse reactions in clinical trials (≥3% of patients treated with LEQVIO and more frequently than placebo) were injection site reaction, arthralgia, and bronchitis.

ASCVD, atherosclerotic cardiovascular disease; CI, confidence interval; CVD, cardiovascular disease; siRNA, small interfering ribonucleic acid; T2DM, type 2 diabetes mellitus.

Reference: Leqvio. Prescribing information. Novartis Pharmaceuticals Corp.



^{*}Factors that increase risk of CVD include HeFH, T2DM, or 10-year risk of ≥20%.



Letter of medical necessity checklist

If your patient's health insurance provider restricts coverage on LEQVIO®, a letter of medical necessity may be used to ensure your patients who need LEQVIO are able to receive it.

The checklist below is provided to help ensure your letter of medical necessity is as complete as possible when communicating with health plans. The following page contains a sample letter that you may reference when crafting your own letter to the patient's health plan. The checklist and sample letter are intended to provide examples of what information is usually required.

Include patient's name, date of birth, insurance ID number, insurance group number, and dates of service
Include relevant ICD-10 code(s) to support your diagnosis. Visit LEQVIO-access.com/ coveragetypes#billingandcoding for more information on coding, or see the Billing and Coding Guide for examples of codes that may be relevant to LEQVIO
Clearly state the rationale for treatment with LEQVIO and why it is appropriate for the patient
List current and previous therapies, or if the patient is intolerant to current therapies. Confirm that the patient has not achieved adequate results from current or prior therapy
Provide clinical support for your recommendation. This can include information such as:
O Clinical trial data from the LEQVIO Prescribing Information
O FDA approval letter
Test results and chart notes (eg, LDL-C levels)
Additional medical records
Summarize your recommendation and provide a phone number in case any additional information is needed

For additional information and support, contact your Novartis Access and Reimbursement Expert or visit <u>LEQVIO-access.com</u>.





Sample letter of medical necessity

Sample Letter of Medical Necessity

Note to physician: this template may be used to help create your institution's independent letter of medical necessity to be sent to a patient's health plan to obtain a prior authorization decision from a health plan and/or in the event that there is a denial of coverage for LEQVIO. All pink, bracketed content needs to be filled out based on the details of each specific appeal. Be sure to review and understand specific health plan requirements for your patient. It is also important to understand each plan's submission process (online vs fax).

[Date]

[Health plan name]

[Patient's Name]

ATTN: [Department]

[Patient's plan-specific member ID]

[Medical/Pharmacy Director Name (if available)]

[Date of birth]

[Health plan address]
[City, State, ZIP code]

[Case number]
[Dates of service]

Re: Letter of Medical Necessity for LEQVIO® (inclisiran)

Dear [Medical/Pharmacy Director Name].

I am writing this letter of medical necessity on behalf of [Patient's Name] to request coverage for LEQVIO® for the treatment of primary hyperlipidemia, including heterozygous familial hypercholesterolemia (HeFH), to reduce low-density lipoprotein cholesterol (LDL-C) [insert ICD-10 code(s)]. This letter provides the clinical rationale and relevant information about the patient's medical history and treatment.

I have been treating [Patient's Name], [a/an] [age]-year-old [male/female], since [Date] to manage their high LDL-C levels. My rationale for prescribing LEQVIO is: [Include relevant medical information and why LEQVIO is the most appropriate treatment option. An example may include evidence that statin therapy alone has not been effective, or the patient has high LDL-C levels. If applicable, provide specific information regarding the treatments this patient has already received.]

In my medical judgment, this patient is an appropriate candidate for treatment with LEQVIO. I have included the US Food and Drug Administration (FDA) approval letter for LEQVIO, as well as supporting clinical data.

If you have any further questions about this matter, please feel free to contact me at [physician phone number] or via email at [physician email]. Thank you for your time and consideration.

Sincerely,

[Physician's signature] [Physician name] [Physician NPI] [Name of practice] [Phone number]

Enclosures: [List and attach additional documents, which may include Prescribing Information, clinical notes/medical records, US Food and Drug Administration approval letter, clinical studies and efficacy data, PA number, and/or clinical practice guidelines.]

This letter is provided as an example and is meant for educational purposes only. Novartis cannot guarantee insurance coverage or reimbursement. Coverage and reimbursement may vary significantly by payer, plan, patient, and setting of care. It is the sole responsibility of the health care provider to include the proper information and ensure the accuracy of all statements used in seeking coverage and reimbursement for an individual patient.

Please click $\underline{\text{here}}$ for LEQVIO full Prescribing Information.

 Include patient's name, date of birth, insurance ID number, insurance group number, and dates of service.

Include relevant ICD-10 code(s).

List current and previous therapies, or if the patient is intolerant to current therapies. Confirm that the patient has not achieved treatment goals from current or existing statin therapy.

 It may be helpful to include the FDA approval letter and data from the LEQVIO® clinical trial(s) to support your decision.

 Include PA number, FDA indication, and product information.

You can also download this resource by visiting **LEQVIO-access.com/resourcesandforms**.

If you have any questions about the letter of medical necessity, contact your Novartis Access and Reimbursement Expert.





Letter of medical exception checklist

If your patient's health insurance provider excludes or has not made a coverage decision on LEQVIO®, a letter of medical exception may be used to ensure your patients who need LEQVIO are able to receive it.

The checklist below is provided to help ensure your letter of medical exception is as complete as possible when communicating with health plans. The following page contains a sample letter that you may reference when crafting your own letter to the patient's health plan. The checklist and sample letter are intended to provide examples of what information is usually required.

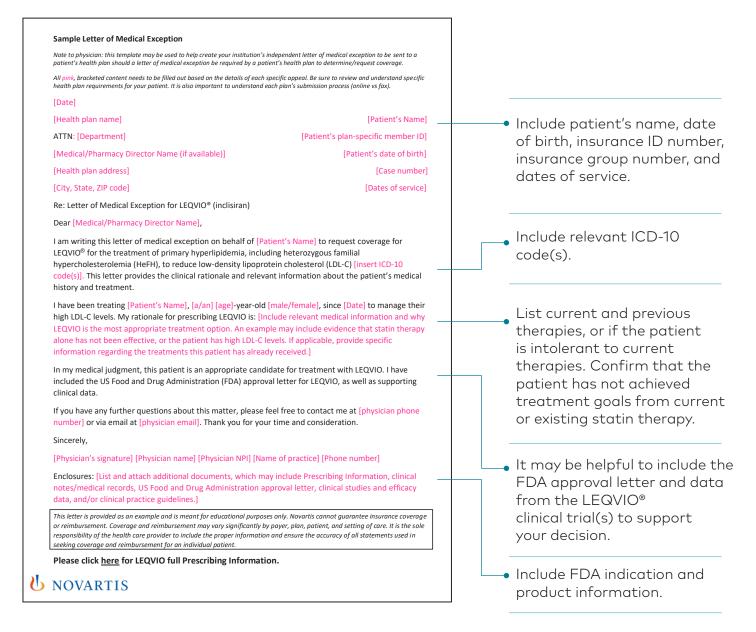
Include patient's name, date of birth, insurance ID number, insurance group number, and dates of service
Include relevant ICD-10 code(s) to support your diagnosis. Visit <u>LEQVIO-access.com/coveragetypes#billingandcoding</u> for more information on coding, or see the <u>Billing and Coding</u> <u>Guide</u> for examples of codes that may be relevant to LEQVIO
Clearly state the rationale for treatment with LEQVIO and why it is appropriate for the patient
List current and previous therapies, or if the patient is intolerant to current therapies. Confirm that the patient has not achieved adequate results from current or prior therapy
Provide clinical support for your recommendation. This can include information such as:
O Clinical trial data from the LEQVIO Prescribing Information
O FDA approval letter
Test results and chart notes (eg, LDL-C levels)
Additional medical records
Summarize your recommendation and provide a phone number in case any additional information is needed

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Sample letter of medical exception



You can also download this resource by visiting **LEQVIO-access.com/resourcesandforms**.

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Letter of appeal checklist

If a plan covers LEQVIO® with a PA and your patient is denied coverage after you submit one, you may explain your clinical rationale for prescribing LEQVIO through a letter of appeal. This letter should address each specific reason for the denial and demonstrate why the health plan's existing formulary or coverage policy does not represent the most appropriate treatment for the patient.¹

Below is a checklist you can reference to help make sure you capture every important aspect of the appeal in your letter. The following page contains a sample letter of appeal with annotations showing the type of information that will usually be required.

Keep in mind there can be multiple levels of appeal, and you should refer to the health plan's specific appeal guidelines. Many health plans will accept up to 3 levels of appeal of PA denials. The third level of appeal may include a review by an independent, non-insurance-affiliated external review board or hearing.²

Denial information, including the denial letter(s) or explanation of benefits notification
Patient's name, date of birth, insurance ID number, insurance group number, and dates of service
PA/case number
Patient's medical records and accompanying ICD-10 code(s)
Copies of additional medical information
Clinical support for prescribing LEQVIO
A list of current and previous therapies and their duration
 Explain why other treatments are not helping the patient achieve treatment goals
A letter of medical necessity and the <u>FDA approval letter</u> for LEQVIO
Additional information and documents, such as:
Reference number of existing claim decision, if applicable
O Patient Authorization and Notice of Release of Information
 Appeal letter signed by the patient or authorized representative, if applicable
Other supporting documentation, such as chart notes, current medications, test and lab results, and emergency department notes

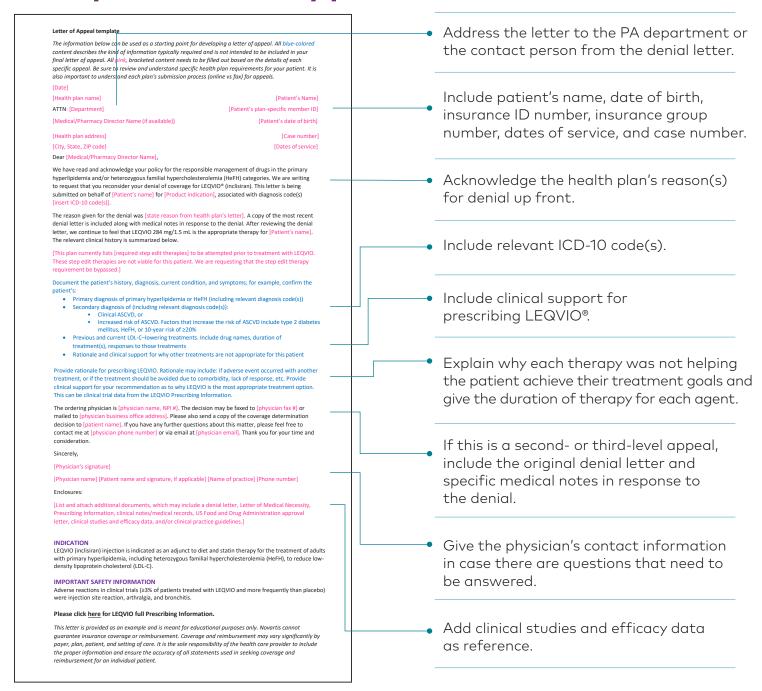
For additional information and support, contact your Novartis Access and Reimbursement Expert or visit <u>LEQVIO-access.com</u>.

References: 1. Forward Health. Denied Requests. Accessed April 6, 2023. https://www.forwardhealth.wi.gov/WIPortal/Subsystem/KW/Print.aspx?ia=1&p=1&sa=84&s=3&c=15&nt=Denied+Requests **2.** CGS Medicare. The 5 Levels of the Appeals Process. Accessed April 6, 2023. https://www.cgsmedicare.com/jc/claims/appeals/5levels.html





Sample letter of appeal



You can also download this resource by visiting

LEQVIO-access.com/resourcesandforms.

If you have any questions about the letter of appeal, contact your Novartis Access and Reimbursement Expert.







Have questions? We are here to help.

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Fax: **877-LEQVIO8 (877-537-8468)**



Website: LEQVIO-access.com



Portal: ServiceCenterPortal.com

INDICATION

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IMPORTANT SAFETY INFORMATION

Adverse reactions in clinical trials (≥3% of patients treated with LEQVIO and more frequently than placebo) were injection site reaction, arthralgia, and bronchitis.

Please click here for LEQVIO full Prescribing Information.

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